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11640 U.S. PTO
09/895791

06/29/01

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

06/29/01 11640 U.S. PTO 09/895791 06/29/01

Pocket No. : 41994/MJM/C766
Inventor(s) : RICHARD C. FLAGAN, HARRY A. ATWATER
MICHELE L. OSTRAAT
Title : AEROSOL PROCESS FOR FABRICATING DISCONTINUOUS
FLOATING GATE MICROELECTRONIC DEVICES
Express Mail Label No. : EL483650603US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Date: June 29, 2001

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. **IF A CONTINUING APPLICATION**

— This application is a of patent application No. .

Prior application information: Examiner ; Group Art Unit:

☒ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4),
to provisional Application No. 60/215,390, filed June 29, 2000; provisional Application
No. 60/215,400, filed June 29, 2000.

3. **APPLICATION COMPRISED OF**

Specification

30 Specification, claims and Abstract (total pages)

Drawings

9 Sheets of formal drawing(s) (FIGS. 1 to 17)

Declaration and Power of Attorney

— Newly executed

☒ Unexecuted declaration

— Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. — **Microfiche Computer Program** (Appendix)

5. — **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)

— Computer Readable Copy

— Paper Copy (identical to computer copy)

— Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

☒ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL
ENTITY

UTILITY PATENT APPLICATION TRANSMITTAL
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Docket No.: 41994/MJM/C766

7. ALSO ENCLOSED ARE

- ☐ Preliminary Amendment
- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other

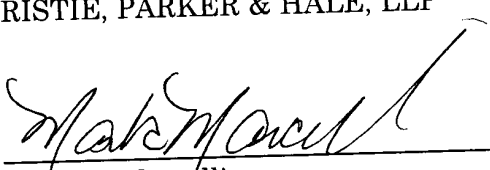
8. CORRESPONDENCE ADDRESS

CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068
Customer Number: 23363

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By


Mark J. Marcelli
Reg. No. 36,593
626/795-9900

MJM/rlm

**FEE TRANSMITTAL
UTILITY PATENT APPLICATION**

DATE: June 29, 2001

Docket No. : 41994/MJM/C766
Inventor(s) : RICHARD C. FLAGAN, HARRY A. ATWATER & MICHELE L. OSTRAAT
Title : AEROSOL PROCESS FOR FABRICATING DISCONTINUOUS FLOATING
GATE MICROELECTRONIC DEVICES Duplicate

FEE DETERMINATION

| CLAIMS AS FILED | | | | | |
|--|-----------------|-----------------|----------------------|----------------------|----------|
| | NUMBER FILED | NUMBER EXTRA | SMALL ENTITY RATE | LARGE ENTITY RATE | FEE |
| TOTAL CLAIMS | 44 - 20 | 24 | 24 x \$9.00 | x \$18.00 | \$216.00 |
| INDEPENDENT CLAIMS | 4 - 3 | 1 | 1 x \$40.00 | x \$80.00 | \$40.00 |
| MULTIPLE-DEPENDENT CLAIMS FEE | | | \$135.00 | \$270.00 | |
| BASIC FEE | | | \$355.00 | \$710.00 | \$355.00 |
| TOTAL FILING FEE | | | | | \$611.00 |
| List Independent Claims: 1,36, 40 and 44 | | | | | |

METHOD OF PAYMENT

- ☒ No filing fee enclosed
- ☒ No Deposit Account Authorization.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By Mark J. Marcelli
Mark J. Marcelli
Reg. No. 36,593
626/795-9900

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